4-A  
Section 11  
#3  
CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on June 12, 2001 or facsimile transmitted to the U.S. Patent and Trademark Office attention \_\_\_\_\_ fax number (703) \_\_\_\_\_ on \_\_\_\_\_

Name (Print/Type)

Kimberly W. Zuehlke

Signature

Kimberly W Zuehlke

Date

6/12/01

**Combined  
Transmittal and Fee Calculation Sheet**

Small Entity



Large Entity

Application Number

09/802,479

Confirmation Number

5879

Filing Date

March 9, 2001

First Named Inventor

Alfonso Ganán-Calvo et al.

Examiner

To Be Assigned

Group Art

1731

Attorney Docket No.

FLOW011

## ENCLOSED:

☐ Amendment Under Rule☐ 37 CFR § \_\_\_\_\_☐ Pages \_\_\_\_\_

Claims

No. of claims as  
filed or after  
amendmentMost claims  
previously  
paid for

# Extra Claims

Rate

Totals

Total

28

20

8

9

\$ 72.00

Independent

4

3

1

40

\$ 40.00

Multiple

Total Extra Claim Fees

\$ 112.00

☐ Extension of time from \_\_\_\_\_ to \_\_\_\_\_ Fee \_\_\_\_\_☒ Response to File Missing Parts (with copy of formalities letter)☒ Filing Fee

Fee \$355.00

☒ Executed Declaration

Pages

6

Surcharge Fee \$65.00

☐ Other \_\_\_\_\_

Fee \_\_\_\_\_

Fee \_\_\_\_\_

Fee \_\_\_\_\_

Fee \_\_\_\_\_

Subtotal \$420.00

☒ Information Disclosure Statement☒ PTO Form 1449

Pages

1

☒ 12 Copies of Cited References☐ Other \_\_\_\_\_

Fee \_\_\_\_\_


Subtotal \$0.00

☐ Response to Notice to Comply (with copy of Notice to Comply)☐ Sequence Listing Certification☐ Paper Copy of Sequence Listing

Pages \_\_\_\_\_

☐ Diskette in computer-readable format☐ Other \_\_\_\_\_

Fee \_\_\_\_\_

<input type="checkbox"/> Terminal Disclaimer		Fee
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group		
<input type="checkbox"/> Notice of Appeal	Pages _____	Fee _____
<input type="checkbox"/> Appeal Brief in Triplicate	Pages _____	Fee _____
<input type="checkbox"/> Reply Brief	Pages _____	Fee _____
Subtotal		\$ -
<input type="checkbox"/> Issue Fee PTO 85B		Fee _____
<input type="checkbox"/> Advance copies _____		Fee _____
<input type="checkbox"/> Submission of Formal Drawings Transmittal with _____ sheets enclosed		
Subtotal		\$ -
<input type="checkbox"/> Other Enclosures and/or Fees _____		Fee _____
<input type="checkbox"/> Change of Correspondence Address		
<input checked="" type="checkbox"/> Return Receipt Postcard		
<b>TOTAL FEES</b>		<b>\$532.00</b>
<p><b>The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.</b></p>		
<b>SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED</b>		
Name (Print/Type)	Karl Bozicevic	Registration No. 28,807
Signature		Date June 12, 2001
Firm Name	Bozicevic, Field & Francis LLP	Address 200 Middlefield Road, Suite 200
City	Menlo Park	State California zip 94025
Telephone - Direct Dial	650-833-7735	Facsimile 650-327-3231

Application No. 09/802,479 Attorney Docket No. FLOW011 Page 2 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/802,479	03/09/2001	Alfonso M. Ganan-Calvo	FLOW-011

CONFIRMATION NO. 5879

## FORMALITIES LETTER



\*OC000000005985331\*

Karl Bozicevic  
BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025

Date Mailed: 04/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$224.
  - \$144 for 8 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The signature of the following inventor(s) is missing from the oath or declaration:  
*Alfonso M. Ganan-Calvo*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1064.**

*A copy of this notice **MUST** be returned with the reply.*

*NRB*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

06/21/2001	MBERIE	00000094	500815	09802479
01	FC:201	355.00	CH	
02	FC:205	65.00	CH	
03	FC:203	72.00	CH	
04	FC:202	40.00	CH	

PART 2 - COPY TO BE RETURNED WITH RESPONSE